

03-27-02



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Morris, et al.
Serial No.: 09/776,216
Filed: February 2, 2001
For: **AN ESSENTIAL
CONSTITUENT AND
METHOD OF USE FOR
MAINTAINING HAIR
COLORATION OR
REVERSING HAIR
DISCOLORATION**

Group Art Unit: 1643
Examiner: Unknown
Attorney Docket: 6829-60165
(800189-05)

**CERTIFICATE OF
MAILING/TRANSMISSION
(37 C.F.R. § 1.8(a))**

I hereby certify that this correspondence, on the date shown below is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

3/25/02 Mary Padilla
Date Mary M. Padilla

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231

03/26/2002 SHINASS1 00000087 130201 09776216
01 FC:122 130.00 CH

PETITION UNDER 37 C.F.R. § 1.48 TO CORRECT INVENTORSHIP

Now into the United States Patent and Trademark Office come Applicants who petition under 37 C.F.R. § 1.48 to add Shiguang Yu as an inventor to the above-identified patent application.

As required by 37 C.F.R. § 1.48, please find enclosed herewith the following:

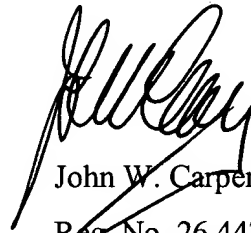
- (1) A declaration by Shiguang Yu in accordance with 37 C.F.R. § 1.63;
- (2) A statement from Shiguang Yu stating that it was through error without deceptive intent that she was not named as an inventor in the above-identified patent application;

RECEIVED
APR 01 2002
TECHNICAL CENTER 1509/2900
#16988

(3) The \$130 fee set forth in 37 C.F.R. § 1.17 (h): the United States Patent and Trademark Office is given the authority to charge any and all required fees including the \$130 petition fee, to Deposit Account No. 13-0201; and

(4) A written consent from the owner/assignee, The Regents of the University of California, of the subject above-identified patent application.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John W. Carpenter", is written over the printed name and registration number.

John W. Carpenter

Reg. No. 26,447

JWC:mmp



DECLARATION FOR PATENT APPLICATION

RECEIVED
APR 01 2002
TECH CENTER 160012900

As below named inventors, we hereby declare that:

Our residence, post office address and citizenship are as stated below next to our name.

We believe we are an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

AN ESSENTIAL CONSTITUENT AND METHOD OF USE FOR MAINTAINING HAIR COLORATION OR REVERSING HAIR DISCOLORATION

the specification of which (check one)

- () is attached hereto.
(X) was filed by an authorized person on my behalf on
February 2, 2001 as Application Serial No. **09/776,216**
and was amended on n/a. (if applicable)

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

We hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and so identified, and we have also identified below any foreign application for patent or inventor's certificate on this invention filed by us or our legal representatives or assigns and having a filing date before that of the application on which priority is claimed.

<u>Number</u>	<u>Country</u>	<u>Day/Month/Year Filed</u>	<u>Priority Claimed (Yes or No)</u>
NONE			

We hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>Application Serial No.</u>	<u>Filing Date</u>	<u>Status</u>
09/501,548	February 9, 2000	Pending

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

We hereby appoint the following attorneys and patent agent, with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith and request that all correspondence and telephone calls in respect to this application be directed to COUDERT BROTHERS, 4 Embarcadero Center, Suite 3300, San Francisco, CA 94111, Telephone No. (415) 986-1300:

<u>Attorney</u>	<u>Reg. No.</u>
J. Bruce McCubbrey	20,687
Donald L. Bartels	28,282
David Schnapf	31,566
Robert D. Becker	37,778
Richard A. Dannells, Jr.	22,654
James W. Drapinski	46,242
John W. Carpenter	26,447
Martin F. Majestic	25,695
Keiichi Nishimura	29,093
Daniel D. Tagliaferri	43,178
<u>Patent Agents</u>	
Hal R. Yeager	35,419
Tom Brody	46,433
Stephen R. Vosen	45,186

Full name of first joint inventor: James G. Morris

Inventor's signature: _____

Date: _____

Residence and Post Office Address 38344 Larue Way
Davis, CA 95616

Citizenship: United States

Full name of second joint inventor:

Quinton R. Rogers

Inventor's signature:

Date:

Residence and Post Office
Address:

1918 Alpine Place
Davis, CA 95616

Citizenship:

United States

Full name of third joint inventor:

Shiguang Yu

Inventor's signature:

Shiguang Yu

Date:

May 1st, 2001

Residence and Post Office
Address:

3941 S.W. Gamwell Road
Topeka, KS 66610

Citizenship:

People's Republic of China

Address for Correspondence:

COUDERT BROTHERS
4 Embarcadero Center, Suite 3300
San Francisco, CA 94111

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Morris, et al.
Serial No.: 09/776,216
Filed: February 2, 2001
For: **AN ESSENTIAL
CONSTITUENT AND
METHOD OF USE FOR
MAINTAINING HAIR
COLORATION OR
REVERSING HAIR
DISCOLORATION**
Group Art Unit: 1643
Examiner: Unknown
Attorney Docket: 6829-60165
(800189-05)

**CERTIFICATE OF
MAILING/TRANSMISSION
(37 C.F.R. § 1.8(a))**

I hereby certify that this correspondence is, on the date shown below is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

3/25/02
Date

Mary M. Padilla
Mary M. Padilla

RECEIVED
APR 01 2002
TECH CENTER 1600/2900

Assistant Commissioner for Patents
Washington, D.C. 20231

STATEMENT FROM SHIGUANG YU

I, Shiguang Yu, state that it was through error without deceptive intent that I was not named as an inventor in the above-identified patent application.

March 22, 2002
Date

Shiguang Yu
Shiguang Yu

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Morris, et al.
Serial No.: 09/776,216
Filed: February 2, 2001
For: **AN ESSENTIAL
CONSTITUENT AND
METHOD OF USE FOR
MAINTAINING HAIR
COLORATION OR
REVERSING HAIR
DISCOLORATION**
Group Art Unit: 1643
Examiner: Unknown
Attorney Docket: 6829-60165
(800189-05)

**CERTIFICATE OF
MAILING/TRANSMISSION
(37 C.F.R. § 1.8(a))**

I hereby certify that this correspondence is, on the date shown below is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

3/25/02
Date

Mary M. Padilla
Mary M. Padilla

Assistant Commissioner for Patents
Washington, D.C. 20231

CONSENT OF THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

I, J. Lawrence Fox, being empowered to act on behalf of The Regents of the University of California, as Director, Technology Transfer Center, hereby states that The Regents of the University of California consent to adding Shiguang Yu as an inventor to the invention in the above-identified patent application.

3/20/02
Date

J. Lawrence Fox
Lawrence Fox
Director, Technology Transfer Center